



### Uttar Pradesh Public Service Commission, Prayagraj

### **General Studies**

### Paper 2 – Volume 1

**Polity and Constitution** 



### UP - PSC

## G.S. PAPER – 2 VOLUME – 1

### POLITY AND CONSTITUTION

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### **1** CHAPTER

## Basics of Indian Constitution



- **binding on everyone** in the state, including law making institutions;
- concern the **structure and operation of** the institutions of **government**, political principles, and the **rights of citizens**;
- based on widespread **public legitimacy**;
- harder to change than ordinary laws;
- recognized criteria for a democratic system in terms of representation and human rights.

#### **Functions of a Constitution**

- Declare and **define the boundaries** of the political community.
- Declare and **define the nature and authority** of the political community.
- Express the identity and values of a national community.
- Declare and **define the rights and duties** of citizens.
- Establish legislative, executive, and judicial branches of government.
- Share power between different layers of government or sub-state communities.
- Declare the official religious identity of the state
- Commit states to particular social, economic, or developmental goals.

#### **Evolution of Constitution of India**

Company Rule in India (1773-1858)

	<ul> <li>laid the foundation of Central Administration in India.</li> </ul>			
	Governor of Bengal $\rightarrow$ Governor-General of Bengal. (Lord Warren Hastings)			
Regulating	• Executive Council of 4 members to assist the GGB.			
Act, 1773	• Governors of Madras & Bombay presidencies subordinate to GGB.			
ACI, 1775	Set up the SC of Calcutta with 1 Chief justice and 3 other judges.			
	• Court of Directors of the Company to report the British Government regarding			
	Company's revenue, civil and military affairs in India.			
	Safeguarded the GGB and its council from the jurisdiction of the SC.			
Act of	<ul> <li>provided immunity to the servants for their official actions.</li> </ul>			
Settlement,	• Exempted revenue matters of Company from jurisdiction of the SC			
1781	SC to administer the personal law of the defendant.			
GGB to frame regulations for Provincial Courts and Councils.				
Pitt's India	Established a system of Double Government.			
Act <i>,</i> 1784	<ul> <li>Court of Director to manage Company's commercial affairs</li> </ul>			





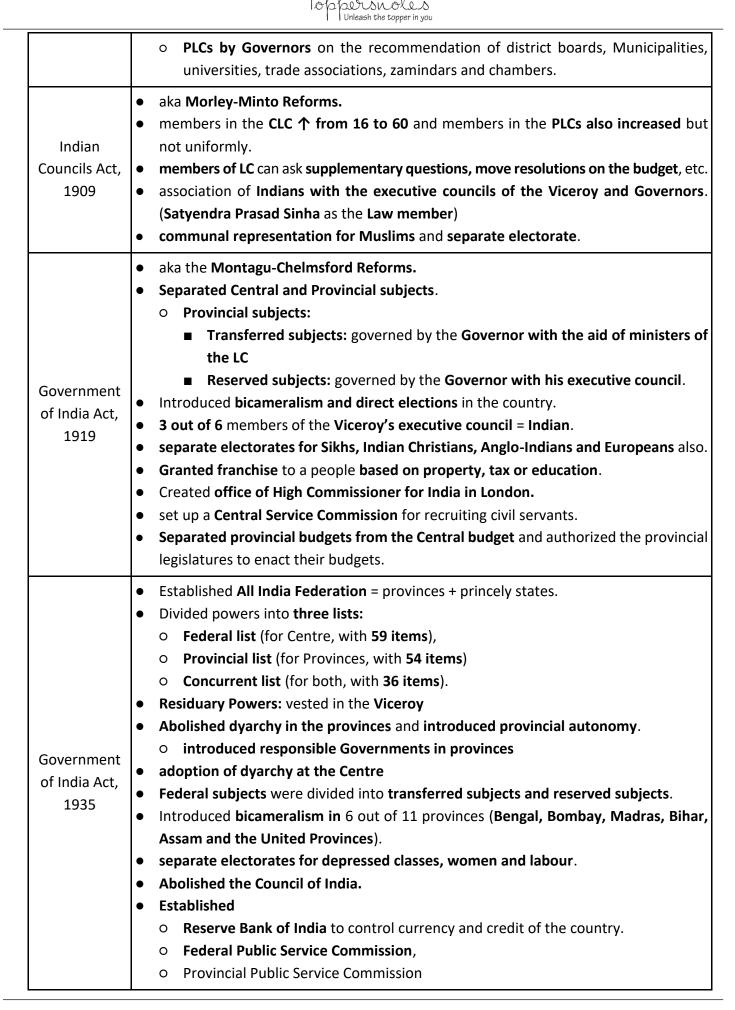




	<ul> <li>Board of Control to manage its political affairs.</li> <li>Board of Control to supervise and direct civil and military operations and revenues of the British possessions in India. (First time acknowledged)</li> </ul>
Charter Act, 1813	<ul> <li>Abolished the trade monopoly of the Company in India         <ul> <li>exceptions: trade in tea and trade with China.</li> </ul> </li> <li>Authorized Local Governments to levy taxes</li> </ul>
Charter Act, 1833	<ul> <li>GGB = Governor-General of India (Lord William Bentinck)</li> <li>○ Vested all civil and military powers</li> <li>○ exclusive legislative powers of the entire British India.</li> <li>Company → purely administrative body.</li> </ul>
Charter Act, 1853	<ul> <li>Separated legislative and executive functions of the GGI's Council.</li> <li>6 members Indian Legislative Council to function as mini parliament.</li> <li>open competition system for Indian Civil Services for Indians also.</li> <li>Introduced local representation in the Indian (Central) Legislative Council. (out of 6 members 4 to be appointed by the local governments of Madras, Bombay, Bengal and Agra)</li> </ul>

#### Crown Rule in India (1858 to 1947)

Government of India Act, 1858	<ul> <li>British Government took control over territory of India         <ul> <li>aka Act of Good Government of India.</li> </ul> </li> <li>GGI = Viceroy of India (Lord Canning)         <ul> <li>representative of British Crown in India.</li> </ul> </li> <li>Board of Control and Court of Directors ceased to exist.</li> <li>Secretary of State for India, with complete authority and control over Indian administration.</li> <li>Created a 15 member Council of India to assist the SSI.</li> </ul>
Indian Councils Act, 1861	<ul> <li>Viceroy to nominate Indians as the non-official members (Lord Canning nominated 3 Indians: The Raja of Benaras, the Maharaja of Patiala and Sir Dinkar Rao)</li> <li>Decentralized legislative powers         <ul> <li>Empowered the Bombay and Madras Presidencies.</li> <li>established new legislative councils for Bengal, North-Western Provinces and Punjab.</li> </ul> </li> <li>Viceroy to make rules and orders for the Council         <ul> <li>members of the council in-charge of and authorized to issue orders regarding their allocated departments</li> </ul> </li> <li>Viceroy to issue ordinances in emergency with a validity of 6 months.</li> </ul>
Indian Councils Act, 1892	<ul> <li>Increased non-official members in Central and Provincial legislative councils.</li> <li>legislative councils can discuss budget and address questions to the executive.</li> <li>Provided for the nomination of some non-official members of the:         <ul> <li>CLC by Viceroy based on recommendation of PLCs and Bengal Chamber of Commerce</li> </ul> </li> </ul>



	<ul> <li>Joint Public Service Commission.</li> <li>Federal Court.</li> </ul>
Indian Independence Act, 1947	<ul> <li>gave immediate effect to Mountbatten Plan</li> <li>Ended British rule in India         <ul> <li>declared India independent and sovereign state from August 15, 1947.</li> </ul> </li> <li>partitioned of India and Pakistan as two independent dominions with right to secede from the British Commonwealth.</li> <li>empowered the Constituent Assemblies to frame and adopt any constitution of their respective nations</li> <li>abolished the office of SSI and transferred his powers to the Secretary of State for Commonwealth Affairs.</li> <li>discontinued the appointment of Civil Servants</li> <li>dropped the title of Emperor of India of the King of England.</li> <li>Crown ceased to be the Source of Authority.</li> <li>deprived him of his right to veto bills or ask for reservation of certain bills for his approval.</li> </ul>

#### **Constituent Assembly**

Cabinet Mission Plan provisioned to set up a Constituent Assembly of India:

- total strength = 389 partly elected and partly nominated
  - 296 seats were allotted to British India
    - 292 members from the 11 governors' provinces
    - 4 from the 4 chief commissioners' provinces
  - 93 seats to the Princely States.
- allotted seats in proportion to their respective population.
- Seats allocated to each British province were to be divided among Muslims, Sikhs and General (others), in proportion to their population.
- representatives of each community → elected by members of that community by proportional representation using a single transferable vote.
- representatives of the princely states were to be nominated by the heads of the princely states
- members were **indirectly elected** by the members of the provincial assemblies.
- **did not present the sentiments of the masses** as the members of provincial assemblies themselves were elected on a limited franchise.
- election for British Indian Provinces was held in July-August 1946.
  - o Indian National Congress won 208 seats,
  - Muslim League won 73 seats
  - Independent players held 15 seats
- seats of princely states were not filled as they refrained from the Assembly
- Assembly had representatives from every section of the society
- Mahatma Gandhi was not a member of the Constituent Assembly.
- On April 28, 1947 representatives of the 6 states became part of the assembly

5

- after the Mountbatten Plan of June 3, 1947, most of the princely states entered the assembly.
- Later Muslim League from the Indian dominion also joined the assembly.

#### Working of the Constituent Assembly

- first meeting: December 9, 1946.
  - Muslim League boycotted and demanded a separate state of Pakistan
     Only 21 members attended the first meeting.
  - Dr Sachchidananda Sinha was elected as the interim President of the Assembly, (French practice)
  - Dr Rajendra Prasad was elected as the President of the Assembly
    - $\blacksquare \quad \text{H.C. Mukherjee and V.T. Krishnamachari} \rightarrow \text{Vice-President}$

#### **Objective Resolution:**

- Presented on Dec 13, 1946, by JL Nehru in the Constituent Assembly, unanimously adopted by the assembly on January 22, 1947.
- Important provisions:
  - o proclaim India as the Independent Sovereign Republic
  - India, shall be a Union of territories of British India that join it
  - Boundaries determined by the Constituent Assembly which shall possess residuary powers and exercise all powers and functions of the Government and administration implied in the Union
  - power and authority of Independent India derived from the people
  - o shall guarantee to all the people of India
    - justice, social, economic and political;
    - equality of status of opportunity, and before the law;
    - freedom of thought, expression, belief, faith, worship, association and action
  - adequate safeguards shall be provided for minorities, backward and tribal areas and depressed and other backward classes
  - Maintain **integrity of the territory of the Republic and its sovereign rights** on land, sea and air according to justice and the law of civilized nations
  - attains its rightful and honoured place in the world and makes its full and willing contribution
     to the promotion of world peace and the welfare of mankind.

#### Changes after the Indian Independence Act, 1947

- Assembly → fully sovereign body to frame Constitution
- became the **legislative body**.
  - responsible to frame the Constitution and enact ordinary laws for the country.
    - worked as the Constitutional body  $\rightarrow$  chaired by Dr Rajendra Prasad
    - as a legislative body → G.V. Mavlankar became chairman (till Nov 26, 1949).
- Muslim League withdrew from the assembly
  - reduced the total strength of the assembly to 299 from 389.
  - strength of Indian provinces reduced to 229 from 296
  - princely states to 70 from 93.











#### **Other Functions Performed by the Assembly**

- Ratified India's membership of the Commonwealth in May 1949
- Adopted National Flag of India on July 22, 1947
- Adopted National Anthem on January 24, 1950
- Elected Dr Rajendra Prasad as the first President of India on January 24, 1950
- On January 24, 1950, the Constituent Assembly held its final session but continued as the provincial parliament from January 26, 1950, till the first general elections in 1951-52 were held.

#### **Committees of the Constituent Assembly**

	Committee	Headed by
	Union Powers Committee	J.L. Nehru
	Union Constitution Committee	J.L. Nehru
	Provincial Constitution Committee	Sardar Patel
	Drafting Committee	Dr B.R. Ambedkar
	Advisory Committee on Fundamental Rights, Minorities and	Sardar Patel
	Tribal and Excluded Areas	
	Fundamental Rights Sub-Committee	J.B. Kriplani
Major	Minorities Sub-Committee	H.C. Mukherjee
Committee	North-East Frontier Tribal Areas and Assam Excluded &	Gopinath Bardoloi
	Partially Excluded Areas Sub-Committee	
	Excluded and Partially Excluded Areas (Other than those in	A.V. Thakkar
	Assam) Sub-Committee	
	North-West Frontier Tribal Areas Sub-Committee	
	Rules of Procedure Committee	Dr Rajendra Prasad
	States Committee (for Negotiation with states)	J.L. Nehru
	Steering Committee	Dr Rajendra Prasad
	Finance and Staff Committee	Dr Rajendra Prasad
	Credentials Committee	A.K. Ayyar
	House Committee	B. Pattabhi Sitaramayya
	Order of Business Committee	Dr K.M. Munshi
	Ad-hoc Committee on National Flag	Dr Rajendra Prasad
	Committee on Functions of the Constituent Assembly	G.V. Mavalankar
Minor	Ad-hoc Committee on the SC	S. Varadachari
Committee	Committee on Chief Commissioners' Provinces	B. Pattabhi Sitaramayya
	Expert Committee on the Financial Provisions of the Union	Nalini Ranjan Sarkar
	Constitution	
	Linguistic Drovinces Commission	S.K. Dar
	Linguistic Provinces Commission	3.R. Dai
	Special Committee to Examine the Draft Constitution	J.L. Nehru





#### **Drafting Committee**

- On August 29, 1947, set up to prepare a draft of the new Constitution.
- seven-member committee with
  - Dr B.R. Ambedkar  $\rightarrow$  Chairman
  - N. Gopalaswamy Ayyangar
  - Alladi Krishnaswamy Ayyar
  - O Dr K.M. Munshi
  - o Syed Mohammad Saadullah
  - o N.M. Rau
  - T.T. Krishnamachari
- First draft published in February 1948
- second draft published in October 1948.

#### **Enactment of the Constitution**

- Dr B.R. Ambedkar introduced the final draft on Nov 4, 1948, for first reading.
- Second reading held on November 15, 1948,
- third reading on November 14, 1949. •
- draft was passed on November 26, 1949 (Constitution day).
- Constitution as adopted on November 26, 1949, contained
  - Preamble
  - 394 Articles
  - 8 Schedules.
- Provisions of citizenship, elections, provisional parliament, temporary and transitional provisions and short title contained in Article 5, 6, 7, 8, 9, 60, 324, 366, 367, 379, 380, 388, 391, 392 and 393 came into force on November 26, 1949. The remaining provisions came into force on January 26, 1950.
- With the adoption of the Constitution, all the provisions under the Indian Independence Act, 1947 and the Government of India Act, 1935 were repealed.
- Abolition of Privy Council Jurisdiction Act (1949) continued.

#### **Criticism to the Constituent Assembly**

- Not a Representative Body did not reflect the mass verdict due to election by the limited franchise.
- Not a Sovereign body as it was formed based on the proposals of the British Government and held its meeting with their permission.
- Took greater time in framing the Constitution as compared to the American constitution which took only 4 months.
- Dominated by Congress
- Domination of Lawyers and Politicians
- **Dominated by Hindus**











- S.N. Mukherjee = chief draftsman of the constitution
- Prem Behari Narain Raizada = calligrapher
  - handwritten the original text of the constitution in a flowing italic style.
- beautified and decorated by artists from Shanti Niketan including Nand Lal Bose and Beohar Rammanohar Sinha.
- calligraphy of the Hindi version = Vasant Krishan Vaidya
  - decorated and illuminated = Nand Lal Bose.
- elephant = symbol of the Constituent Assembly.
  - Elephant figurine carved on the seal of the assembly.
- Originally, the Constitution of India did **not** make any **provision concerning an authoritative text** of the Constitution in the Hindi Language.
  - made by the **58<sup>th</sup> Constitutional Amendment Act of 1987** which **inserted a new Article 394**-A in the last part of the constitution.

#### 9

# CHAPTER Preamble

- Introduction or preface to the Constitution
- Provides guidelines for the Constitution
- embodies basic philosophy and fundamental values as basis for the Constitution
- Reflects dreams and aspirations of founding fathers of the Constitution.
- enacted after the rest of the Constitution was already enacted.
- neither a source of power to the legislature nor a prohibition
- non justiciable not enforceable in Courts of law.
- can be amended without altering the basic structure.

#### **Ingredients of the Preamble**

- Preamble makes people of India, the ultimate authority
- declares India as sovereign, socialist, secular democratic and republican polity.
- Objectives of the Constitution: justice, liberty, equality and fraternity
- Date of adoption of the Constitution: It stipulates November 26, 1949 as the date.

#### **Key Terms related to Preamble**

- Sovereignty: absolute Independence that is a Government which is not controlled by any other power: internal or external. A country cannot have its own Constitution without being sovereign. India is a sovereign country. It is free from any external control.
- Socialist: not part of the original Constitution.
  - Added by **42nd Amendment act**
  - used in the context of economic planning.
  - commitment to attain ideals like removal of inequalities, provision of minimum basic necessities to all, equal pay for equal work.
- Secularism: added by 42nd Constitutional Amendment Act 1976.
  - India is neither religious nor irreligious nor anti-religious.
  - no state religion- the state does not support any particular religion
- Democratic Republic: Government is elected by the people and is responsible and accountable to the people.
  - **democratic provisions**: Universal adult franchise, elections, Fundamental Rights and responsible Government.
  - Republic: the elected head of the state (President → indirectly elected) not a hereditary ruler as Britain.
- Justice: to give people what they are entitled to in terms of basic rights to food, clothing, housing, participation in decision making and living with dignity as human beings.







- taken from the Russian Revolution (1917)
- three dimensions of Justice- social, economic and political.
  - Social justice: equal treatment of all citizens without any social distinction based on caste, colour, race, religion, sex and so on.
  - **Economic justice:** non-discrimination on economic factors.

Social justice + economic justice = 'distributive justice'

- Political justice: all citizens should have equal political rights, equal access to all political offices and equal voice in the Government.
- Liberty: of thought and expression; absence of restraints on the activities of individuals, and at the same time, providing opportunities for the development of individual personalities.
  - taken from the **French Revolution (1789–1799)**.
- Equality: absence of special privileges to any section of the society, and the provision of adequate opportunities for all individuals without any discrimination.
  - three dimensions of equality-civic, political and economic.
- Fraternity: sense of brotherhood; promotes the feeling of fraternity by a system of single citizenship and by article 51A (Fundamental Duties).

#### Preamble as a part of the Constitution

Berubari Union v. Unknown Case,	Kesavananda Bharati v. State of	Union Government Vs LIC of
1960	Kerala Case, 1973	India Case, 1995
<ul> <li>SC stated that 'Preamble is the key to open the mind of the makers' but it cannot be considered as part of the Constitution. Therefore it is not enforceable in a Court of law.</li> </ul>	Constitution will now be <b>considered as part of the</b> <b>Constitution</b> . The Preamble is	the integral part of the Constitution but is not directly enforceable in a Court of justice in India.

#### **Comparison of Indian Constitution with other democracies**

#### **Unites States**

#### Similarities

#### Constitutional

- Written Constitution: Both nations have a written constitution
  - The Indian constitutional existence is credited to the written text of the American Constitution
- **Preamble:** Both nations have a preamble as their introductory statements.
- Fundamental Rights: Both nations have the concept of Fundamental Rights
- Emergency Provisions: An emergency can be declared on the ground of War and armed rebellion, US does not use the phrase of emergency but says that in the case of Rebellion and invasion of Public Safety, the writ of Habeas Corpus can be suspended.



• Office of Vice President: Both nations have the office of the vice president with US asserting more powers and importance to the post than India.

#### Legislature and Executive

- **Elected Governments:** Both nations operate on different political models but both have elected representatives and 2 houses of the legislature.
- **State Representation:** Both nations have representations from their states in the legislature.
- **Powers of defence and Diplomacy:** General regulation of major foreign policy and defence of the country is vested in the central/federal governments of both nations.
- **Impeachment of President**: Both nations have provisions for the removal of the president through impeachment motion in the legislature.
- **Cessation:** As per the US Constitution, without agreement between the legislatures of the States concerned and Congress, no new State shall be created or established beyond. Thus, it can be inferred that no State will withdraw from the U.S. Constitution from the Union.

#### Judiciary

- Judicial Review: SC of USA can abrogate any law which does not suit the constitution or violates the Constitution and similar provisions exist in India.
- **Due Process of Law:** Both nations hold the principle of due process or "the Government cannot deprive anyone of life, liberty or property without due process of law.
  - In India, the concept came with a wider interpretation in the Maneka Gandhi case.
- **Separation of Powers:** There is a legislative, executive, and judicial branches and each has its own different but equally important duties.
- Independence of Judiciary: Both nations have judiciaries that are independent of the working of the other organs of the state.

#### Differences

#### Constitutional

- **Political Model:** The president is the head of the state in the U.S. and thus this administration is popularly referred to as the presidential form of government.
  - India has a legislative system of government, while the PM exercises real power oven his cabinet, with President being only a nominal head.
- Election: In the US, the President is elected directly, whereas in India he is elected indirectly
- **Amendability:** The US Constitution has only been changed 27 times in over 200 years since the procedure is rigid and difficult to pass.
  - Whereas the Constitution of India, which entered into force in 1950, has so far been amended 94 times

#### Legislature and Executive

- **Citizenship:** The Indian Constitution recognizes single citizenship.
  - On the other hand, United States Constitution allows for double citizenship that can be a US resident of countries, the United States and another.
- **Powers:** In India, the residuary powers are not mentioned in Schedule VII and are vested in the union, whereas in the US it is vested with the states.
- **Representation of States:** In India, representatives to the Rajya Sabha are based on the state's population, whereas in the US Senate all states have equal representation.
- Houses of Legislature: Whereas the Lok Sabha and lower house in India are stronger, the Senate House or the upper house is stronger in the United States.
- **Party Structure:** In the US, there is a presence of very few parties, mostly the Democrats and the Republicans whereas in India there is a presence of multiple parties.



#### Judicial

- Judicial Tenure: A judge in the US can hold the post for life, as long as he enjoys good health, it is different in India where judges have retirement ages.
- **Supreme Court:** Every state in the US has its own Supreme Court as the highest court of appeal for most cases, and the federal supreme court only for selected federal cases.

#### Great Britan

 Britain does not have a formal written constitution and operates through its historical conventions and traditions

#### Similarities

#### **Political Conventions**

- **Political Model:** Both UK and India operate on the Parliamentary model of democracy with a bicameral legislature.
- **Rule of Law:** Both nations assert primacy to rule of law, or laws are paramount before anything else, and only those created through rule of law enjoy legitimacy.
- **Amendability:** The British laws can be passed, amended and repealed by a Simple Majority of the Parliament, similar to some of the provisions in the Indian constitution.

#### Legislature and Executive

- **Cabinet System:** Both India and UK have the presence of a cabinet system of inner ministers holding various portfolios.
- **Dual Heads of Government:** First head, as head of the state.
  - He represents the nation and provides continuity to the administration.
  - The second head is the head of the government.
- **Collective Responsibility:** In the cabinet system, there is a principle of collective responsibility.
- **Party Structure:** Both have multiple parties represented in the lower house of the parliament.
- **Removal of Judges**: In UK judges can only be removed from office for serious misbehaviour and according to a procedure requiring the consent of both the Houses of Parliament similar to that in India.

#### Differences

#### Conventional

- **Type of Model:** UK is a constitutional monarchy with a regent at the top whereas in India we have a Republican form with the indirectly elected President at the top.
- **Constitution:** Britain has no written constitution and operates on conventions and traditional laws, whereas India has a written constitution
- **Federalism:** India is a federal system with unitary bias but UK is a unitary state and all power is vested in a single supreme Central Govt
- **Parliamentary sovereignty:** UK's parliament is sovereign in the sense of constitutional sovereignty, their powers are not limited by a constitutional document, whereas India's Parliament is limited by the constitution itself.
- **Prime Minister:** In the UK the PM has to be elected from the lower house, unlike in India, where he can be elected from either house.

#### Legislature and Executive

- Hereditary Members: The house of lords also has hereditary members holding titles, when in India Article 18 prohibits any person to hold any titles or have hereditary claims to political positions
- **Speaker:** In the UK, there is a convention that once a Speaker, always a Speaker, thus a former speaker builds no political membership, whereas in India speakers usually are members of their parties



- **Citizenship:** The Indian citizenship & nationality law does not allow dual citizenship. But in UK one does not need to give up his her present citizenship or nationality to become
- **Religious Presence:** The upper house in the UK has members of the church as members, whereas in India we find no such clause for religious members or organisations.

Judiciary

- Judicial Review: In India, Judicial review is the basic structure of the constitution and people of India are sovereign.
  - But in UK, Parliament is sovereign and no such review exists.
- **Nature of Judiciary:** UK does not have a single unified legal system with England, Scotland, Wales all having different structures, but India has an integrated judiciary.
- Separation of Powers: UK there is no real separation of powers but rather a fusion of powers unlike in India

#### France

#### Similarities

- Elected Government: Both France and India have elected heads of state for respective terms.
  - Indian PM has a term of 5 years, whereas French president for 7 years.
- **Amendability:** French constitution can be amended with a 60% majority similar to the Indian process of amendment.
- Written Constitution: Both nations have written constitutions, but France is currently following its 5th constitution in history due to previous instabilities.
- **Republic:** Both nations are Republics with elected heads of state.
- Ideals: Indian Constitution has enshrined the values of liberty, equality and fraternity from the French ideals.

#### Differences

- **Secularism**: France adopted complete separation of State from religion, while Indian secularism is more of a principled distance but not complete separation.
- **Political Model**: French political model is that of Semi Presidential with the President having more power than PM.
- Federalism: France operates on a unitary model of State rather than Federalism as in India.
- **Types of Courts:** The courts in France are divided into two parts: judicial courts and administrative courts.
  - In India no such distinction.
- **Constitutional Council:** This branch oversees review of statutes before they are enacted as well as overseeing national elections in France.
  - In India, the judiciary plays no role in conducting elections.

#### Japan

#### Similarities

#### Constitutional

- Written Constitution: Both India and Japan operate by the written constitution in their political model and legislature.
- **Parliament:** Both nations have a parliament as the highest legislative body.
  - In Japan, it is known as the Diet.
- **Bicameralism:** Both nations have 2 houses of parliament with the lower house being more powerful than the other.
- **Dissolution:** Early dissolution in Japan, like in India, may occur either when the government loses the confidence of the lower house or when the PM seeks to increase his or her party's strength in parliament.